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PATENT DOCKET M-8579 us

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ari Pine and Arthur L. Shmurun  
Assignee: Premium Research, Inc.  
Title: SYSTEM AND METHOD FOR ANALYZING FINANCIAL MARKET DATA  
Serial No.: 09/590,942 Filed: June 9, 2000  
Examiner: Unassigned Group Art Unit: Unassigned  
Docket No.: M-8579 US

San Jose, California  
October 9, 2000

BOX Missing Parts  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

Attention: Customer Service Center  
Initial Patent Examination Division

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
APPLICATION**

Dear Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on August 24, 2000, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventors in compliance with 37 CFR 1.63;
2. A Verified Statement Claiming Small Entity Status;
3. Copy of Notice to File Missing Parts of Application - Filing Date Granted.

LAW OFFICES OF  
SKJERVEN, MORRILL,  
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SER. NO. 09/590,942



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The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 19-2386:

- |    |   |                   |
|----|---|-------------------|
| 1. | Surcharge for filing declaration on a date later than the filing date of the application. | \$130.00          |
| 2. | Application Filing Fee  | \$690.00          |
| 3. | Additional claim fee(s)   | \$648.00          |
| 5. | Sub-total fees:   | <u>\$1,468.00</u> |
| 6. | ½ Reduction for small entity business concern   | <u>-\$734.00</u>  |
| 7. | <b>TOTAL FEES COMMISSIONER IS AUTHORIZED TO CHARGE TO DEPOSIT ACCOUNT NO. 19-2386</b>     | <b>\$734.00</b>   |

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of June 9, 2000. Please telephone the undersigned at (415) 217-6000, if there are any questions. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, Washington, D.C. 20231,

on 10/9, 2000.

Philip W. Woo  
Attorney for Applicant(s)

10/9/00  
Date of Signature

Respectfully submitted,

Philip W. Woo  
Attorney for Applicants  
Reg. No. 39,880

681427 v1



FORMALITIES LETTER



\*OC00000005350710\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/590,942         | 06/09/2000          | Ari Pine              | M-8579 US              |

Skjerven Morrill MacPherson Franklin & Friel LLP  
Suite 700  
25 Metro Drive  
San Jose, CA 95110-1349

Date Mailed: 08/24/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$648.  
■ \$648 for 36 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1468.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/17/2000 WKORDMA 00000002 192386 09590942

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| 01 FC:201 | 355.00 CH |
| 02 FC:205 | 65.00 CH  |
| 03 FC:203 | 324.00 CH |